

Import LC Liquidation User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Liquidation User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Liquidation

Import LC liquidation process enables the user to liquidate of drawings under an Import LC.

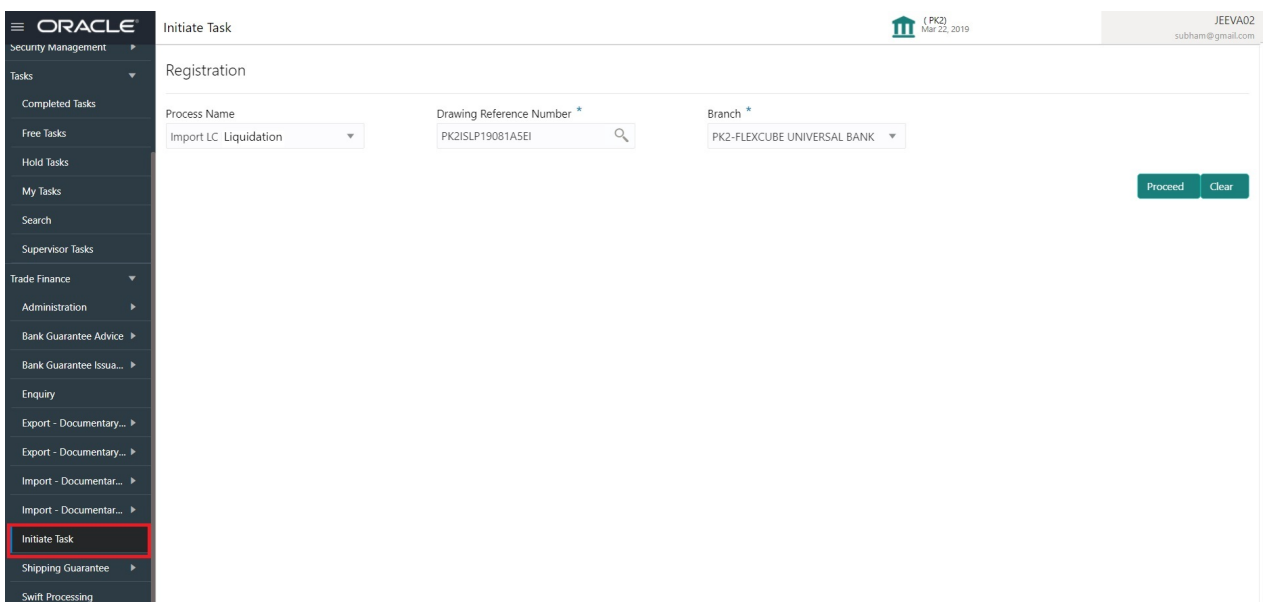
This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Common Initiation Stage

The user can initiate the new import LC liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the Drawing Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

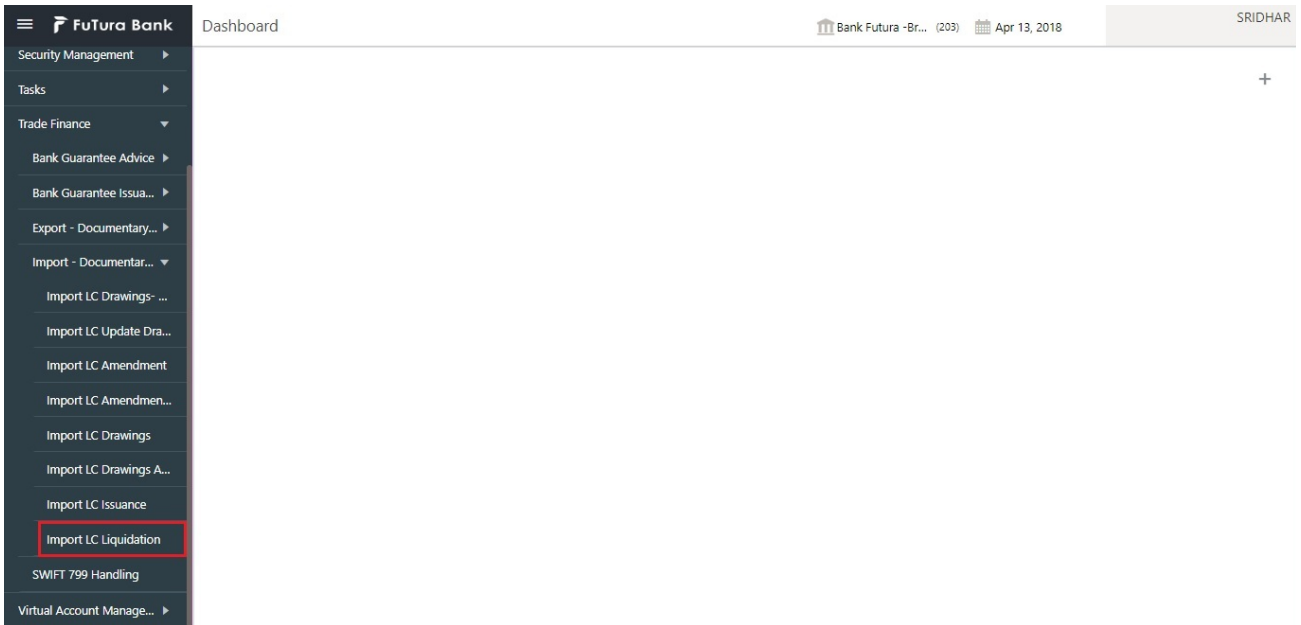
Registration

The process starts from Registration stage, during registration stage, user can capture the basic details of the transaction and upload related documents. On submit of the request the request will be available for an LC expert to handle the liquidation request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance> Import - Documentary Credit> Import LC Liquidation.



The registration stage has two sections Basic Details and Liquidation Details. Let's look at the details of registration screens below:

Application Details

Import LC Liquidation Documents Remarks

Application Details

Drawing Reference Number *	20 - Documentary Credit Number	Branch	Priority
PK2ISLP211258502	PK2ILUN211252001	PK2-Oracle Banking Trade Finan...	Medium
Applicant	Liquidation Date	Process Reference Number	Submission Mode
001044 GOODCARE PLC	05-May-2021	PK2ILCL000003823	Desk
Presenting Bank	Presenting Bank Reference Number		
003763 CITIBANK IRELA			

View LC Events View BC

Liquidation Details

Product Code	Product Description	Drawing Amount	Amount In Local Currency
ISLP	INCOMING CLEAN SIGHT BILLS UNDEF	GBP £2,000.00	GBP £2,000.00
Outstanding Bill Amount	Additional Amount	Liquidation Amount	Unlinked FX Rate
GBP £2,000.00		GBP £2,000.00	
Shipping Guarantee Reference	Rebate Amount	Back to Back LC	
	GBP	<input type="checkbox"/>	

Hold Cancel Save & Close Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	Provide the drawing reference number. Alternatively, user can search the Drawing reference number using LOV. In the LOV, user can input Drawing Reference Number, Applicant, Beneficiary, Issue Date, Currency, Amount and User Reference to fetch the drawing details. Based on the search result, select the applicable LC to add the applicant response.	
Documentary Credit Number	Read only field. Documentary Credit Number will be auto-populated based on the selected LC from the LOV.	
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Applicant	Read only field. Applicant details will be auto-populated based on the selected LC from the LOV.	
Liquidation Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

Field	Description	Sample Values
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Submission Mode	Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier	Desk
Presenting Bank	Read only field. This field displays the Presenting Bank name as per the drawing.	
Presenting Bank Reference Number	Read only field. This field displays the Presenting Bank reference number as per the drawing.	

Liquidation Details

Registration user can provide liquidation details in this section. Alternately, details can be provided by Scrutiny user.

Liquidation Details

Product Code ISLP	Product Description INCOMING CLEAN SIGHT BILLS UNDER	Drawing Amount GBP £2,000.00	Amount In Local Currency GBP £2,000.00
Outstanding Bill Amount GBP £2,000.00	Additional Amount ▼	Liquidation Amount GBP £2,000.00	Unlinked FX Rate ▼ ▲
Shipping Guarantee Reference	Rebate Amount GBP ▼	Back to Back LC <input type="checkbox"/>	

Hold
Cancel
Save & Close
Submit

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Product Code	Read only field. This field displays the product code of the drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Amount	Read only field. This field displays the LC Drawing Amount as per the selected drawing.	
Amount in Local Currency	Read only field. This field displays the Local currency and amount.	

Field	Description	Sample Values
Outstanding Bill Amount	Read only field. Outstanding Bill Amount value will be auto-populated. This field displays the value as per the latest LC.	
Additional Amount	Read only field. Additional Amount value will be auto-populated. This field displays the value as per the latest LC.	
Liquidation Amount	Update the liquidation amount.	
Unlinked FX Rate	Update the unlinked FX Rate.	
Shipping Guarantee Reference	User can link an existing Shipping Guarantee using this field.	
Back to Back LC	Flag to check if the Import LC is a back to back LC. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	

Miscellaneous

Import LC Liquidation
Documents Remarks

Application Details

Drawing Reference Number *	20 - Documentary Credit Number	Branch	Priority
PK2ISLP211258502	PK2ILUN211252001	PK2-Oracle Banking Trade Finan...	Medium
Applicant	Liquidation Date	Process Reference Number	Submission Mode
001044 GOODCARE PLC	05-May-2021	PK2ILCL000003823	Desk
Presenting Bank	Presenting Bank Reference Number		
003763 CITIBANK IRELA			

View LC Events View BC

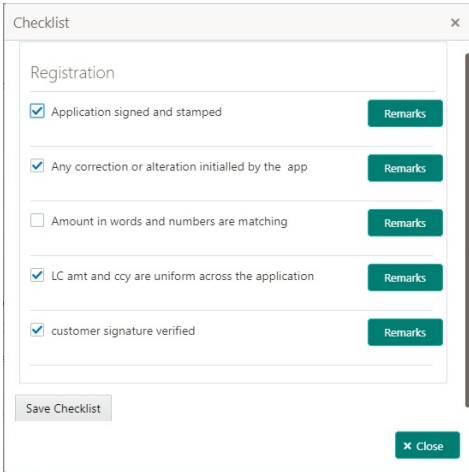
Liquidation Details

Product Code	Product Description	Drawing Amount	Amount In Local Currency
ISLP	INCOMING CLEAN SIGHT BILLS UNDEF	GBP £2,000.00	GBP £2,000.00
Outstanding Bill Amount	Additional Amount	Liquidation Amount	Unlinked FX Rate
GBP £2,000.00		GBP £2,000.00	
Shipping Guarantee Reference	Rebate Amount	Back to Back LC	
	GBP	<input type="checkbox"/>	

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
Audit	User can view the history of this transaction.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Import LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Liquidation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	

OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDEX) and upload the necessary documents.
2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.

In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.

The screenshot displays the 'Request Clarification' interface in Oracle OBTFPM. The top navigation bar shows 'Free Tasks' and 'Clarification Details'. The main content area is divided into 'Application Details' and 'Liquidation Details'. The 'Application Details' section includes fields for Drawing Reference Number (PK2ISLP19081A50L), Applicant (001044 GOODCARE PLS), Presenting Bank (001041 WELLS FARGO), 20 - Documentary Credit Number (PK2ILSN19081C51Y), Liquidation Date (Mar 22, 2019), Branch (PK2-FLEXCUBE UNIVERSAL BANK), Process Reference Number (PK2ILCL000042603), Priority (Medium), and Submission Mode (Desk). The 'Liquidation Details' section includes Product Code (ISLP), Product Description (INCOMING CLEAN SIGHT BILLS UNDER), Drawing Amount (GBP £1,000.00), Outstanding Bill Amount (GBP £50.00), Additional Amount, and Liquidation Amount (GBP £50.00). At the bottom, a 'Request Clarification' button is highlighted in red, along with other buttons like 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

3. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.
4. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.

The screenshot shows a 'Clarification Details' window with the title 'Clarification Details - Application No : PK2ILCI000042424'. The window features a rich text editor toolbar at the top. The main text area contains the following message: 'Proforma Invoice is not uploaded. Instead some other document is uploaded as proforma invoice. Please delete the present document and upload the proforma invoice.' A 'Save & Close' button is located at the bottom right of the window.

5. The task goes to **Awaiting Customer Clarification** state. until the response received from the customer. The task goes to **Awaiting Customer Clarification** state. until the response received from the customer.

6. The task goes to **Awaiting Customer Clarification** state until the response received from the customer.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Import LC Liquidation	PK2ILCA000042586	PK2ILCA000042586	Scrutiny	20-12-16	PK2	006466	

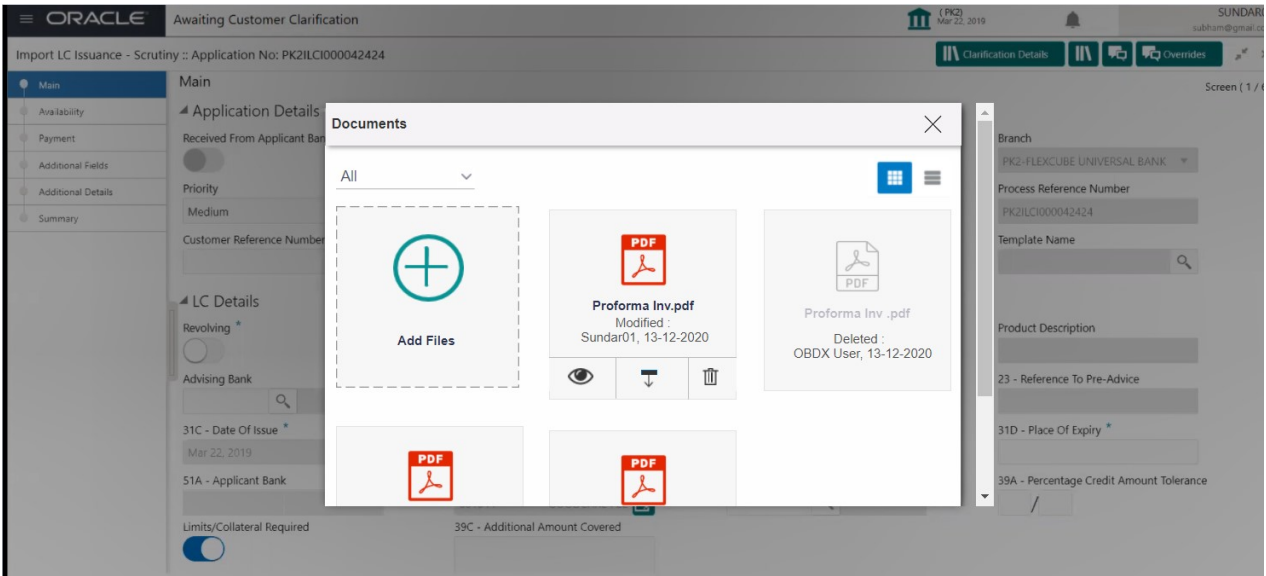
7. Click **Edit**.

Clarification	Raised By	Clarification Date	Response	Response Date	Response Type	Status
<input type="checkbox"/> Proforma Invoice is not uploaded. Instead some other document is	SUNDAR01	2019-03-21T18:31				Clarification Requested

8. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.

9. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in

a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.

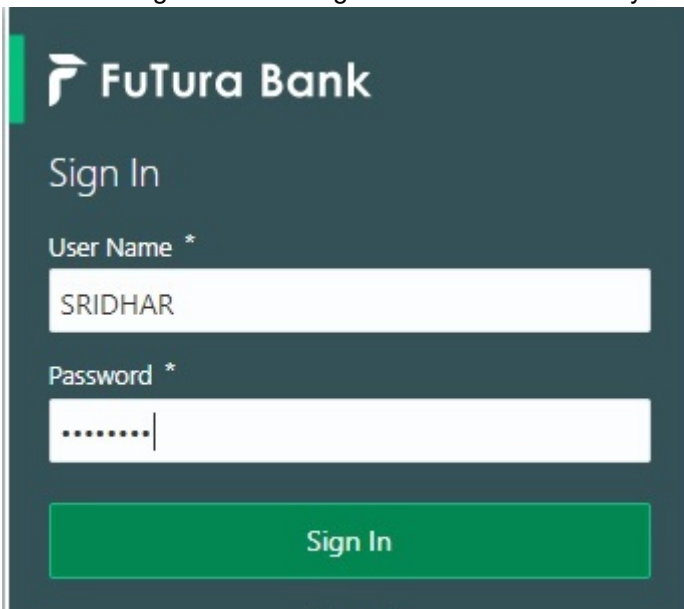


Data Enrichment

On successful completion of registration of an Import LC Liquidation request, the request moves to Data Enrichment stage. At this stage the gathered information during registration are scrutinized.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring and management:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status.
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name.
- High Value Transactions:** A bubble chart showing transaction values for different branches.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority.
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** Table with columns: Branch, Process Name, Stage Name.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a list of tasks with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ILCL000006228	GS1	000262	£1,000.00	Import LC Liquidation	Liquidation	NA
Acquire & Edit	H	GS1ELCA000006232	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BM9N
Acquire & Edit	H	GS1ELCA000006230	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BM9L
Acquire & Edit	H	GS1ELCA000006225	GS1	000263	£99,999.19	Export LC Advising	Data Enrichment	GS1ELAC19032BM9H
Acquire & Edit	H	GS1ELCA000006227	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BM9J
Acquire & Edit	H	GS1ELCA000006226	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BM9I

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the 'Free Tasks' page with the 'Acquire & Edit' button for the first task highlighted in red. The table data is identical to the previous screenshot.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The Liquidation stage has the hops as follows:

- Main Details
- Advice Details
- Maturity Details
- Additional Details
- Settlement Details
- Summary

Let's look at the details for liquidation stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application
- Application Details
- Liquidation Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Liquidation Details

The fields listed under this section are same as the fields listed under the [Liquidation Details](#) section in [Registration](#). Refer to [Liquidation Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Liquidation Details

Product Code ISLP	Product Description INCOMING CLEAN SIGHT BILLS UNDER	Drawing Amount GBP £2,000.00	Amount In Local Currency GBP £2,000.00
Outstanding Bill Amount GBP £2,000.00	Additional Amount	Liquidation Amount * GBP £2,000.00	Unlinked FX Rate
Shipping Guarantee Reference	Rebate Amount GBP	Back to Back LC <input type="checkbox"/>	

Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Liquidation stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Advice Details

Import LC Liquidation - DataEnrichment :: Application No: PK2ILCL000062436

View LC

Screen (2 / 6)

Advice : ACKNOWLEDGE...
 Advice Name: **ACKNOWLEDGEMENT**
 Advice Party : **NEGOTIATING BANK**
 Party Name : **CITIBANK IRELAND**
 Suppress : **NO**
 Advice

Advice : PAYMENT_MESS...
 Advice Name: **PAYMENT_MESSAGE**
 Advice Party :
 Party Name :
 Suppress : **NO**
 Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

Screen (3 / 6)

Maturity Details

▲ Maturity Details

Tenor Type: Sight
 Tenor Basis:
 Start Date: Feb 1, 2019
 Tenor Days:
 Transit Days:
 Maturity Date: Feb 1, 2019
 Usance Interest Rate:
 Usance Interest Amount:

Multi Tenor

Tenor	Tenor Basis	Start Date	Tenor Days	Transit Days	Maturity Date	Usance Interest Rate	Usance Interest Currency	Usance Interest Amount
No data to display.								

Interest From Date:
 Interest To Date:
 Acceptance Commission From Date:
 Acceptance Commission To Date:

▲ Other Bank Charges

Other Bank Charges-1:
 Other Bank Charges-2:
 Other Bank Charges-3:

Debit Value Date: Feb 1, 2019
 Credit Value Date: Feb 1, 2019
 Value Date: Feb 1, 2019
 Allow Pre-Payment:

Refund Interest:
 Transfer Collateral from LC:

Audit Reject Hold Cancel Save & Close Back Next

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Update the tenor basis, if the tenor is not sight. The tenor base code description is displayed based on the selected tenor basis.	
Tenor Start Date	Update the tenor start date.	
Tenor Days	Update the number of tenor days	
Transit Days	Update the transit days, if the tenor is sight.	
Maturity date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Usance Interest Rate	Provide the usance interest rate.	
Usance Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	<p>Toggle On: Set the toggle 'On' to enable pre-payment.</p> <p>Toggle Off: Set the toggle 'Off' to disable pre-payment.</p>	
Refund Interest	<p>Toggle On: Set the toggle 'On' to refund the interest.</p> <p>Toggle Off: Set the toggle 'Off' to deny the interest refund.</p>	
Transfer Collateral from LC	<p>Toggle On: Set the toggle 'On' to enable the transfer collateral from LC.</p> <p>Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.</p>	

Additional Details

Import LC Liquidation - DataEnrichment :: Application No: PK2ILCL000062436

Overrides View LC

- Main Details
- Advice Details
- Maturity Details
- Additional Details**
- Settlement Details
- Summary

Additional Details

Screen (4 / 6)

Payment Details	Loan Preferences	Limits and Collaterals	FX Linkage
Immediate Liquidation: No Required : No Immediate : No Acceptance Required	Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity Date :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : Contribution : Collateral Status :	FX Reference Number : Contract Currency : Contract Amount :
Preview Messages	Commission,Charges and...	Assignment of Proceeds	Linked Loan Details
Language : ENG No. of Messages : 0	Charge : Commission : Tax : Block Status :	AssignmentOfProceeds No Assignee Name : Assigned Amount :	Loan Account : Loan Currency : Loan Amount :

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

Limits and Collaterals									
Limit Details +									
<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	US\$2,000.00			001044	
Collateral Details +									
Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit		
Cash Collateral	0	GBP	US\$0.00	PK20010440017			Cash Collateral		

Save & Close Cancel

Limit Details

Customer Id <input type="text" value="001044"/>	Line ID * <input type="text" value="001044"/>
Contribution % * <input type="text" value="100.0"/>	Limits Description <input type="text"/>
Contribution Currency <input type="text" value="GBP"/>	Contribution Amount * <input type="text" value="£2,200.00"/>
Limit Currency <input type="text" value="GBP"/>	Limit Available Amount <input type="text" value="£999,528,418,464.36"/>
Limit Check Response <input type="text" value="Available"/>	Response Message <input type="text" value="The Earmark can be performed as the f"/>
Expiry Date <input type="text"/>	

Verify

Save & Close
Close

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

This section displays the collateral details:

Collateral Details



Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit
Cash Collateral	0	GBP	US\$0.00	PK20010440017			Cash Collateral

Save & Close

Cancel

Collateral Details ✕

Collateral Type *

Cash Collateral ▼

Currency

GBP

Settlement Account *

20300134600000000017 🔍

Settlement Account Currency

GBP

Response

Available

Collateral % *

20 ▼ ▲

Contribution Amount *

£4,000.00

Settlement Account Branch

203

Account Available Amount

£998,926,760.53

Response Message

The amount block can be performed as

Verify

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	

Field	Description	Sample Values
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

Commission, Charges and Taxes x

Recalculate Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
BCLIQCG	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017 <input type="text" value=""/>

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
LQTAX	GBP		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	PK20010440017

Save & Close Cancel

This section displays charge details:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < < 1 > >									

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
LQTAX	GBP		<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017

Save & Close Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	

Field	Description	Sample Values
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

▲ Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
LQTAX	GBP		<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017

Save & Close Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Payment Details

Payment Details

PaymentDetails

Advance by Loan

Transfer Collateral from LC

Immediate Liquidation Required

Auto Liquidate

Immediate Acceptance Required

Reimbursement Claimed

Reimbursing Bank

Reimbursement Date

Liquidate using Collateral

Split Settlement

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
BILL_LIQ_AMT	GBP	Debit	PK100001540018	FIXNETIX	PK1	GBP
BILL_LIQ_AMTEQ	GBP	Credit	PK20011850014	RBS PLC	PK2	GBP

Split Settlement

Component	Contract Currency	Amount
BILL_LIQ_AMT	GBP	


Split Settlement Details

Select	Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate

Save & Close Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Advance by Loan	<p>Toggle On: Set the toggle 'On' to enable the creation of loan at the time of Final liquidation.</p> <p>Toggle Off: Set the toggle 'Off' to disable creation of loan at the time of Final liquidation.</p>	
Transfer Collateral from LC	<p>Toggle On: Set the toggle 'On' to enable the transfer collateral from LC.</p> <p>Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.</p>	
Immediate Liquidation Required	<p>This toggle is applicable only for sight LC's and only if the drawings are without discrepancy.</p> <p>Switch on the toggle to enable immediate liquidation for the drawing.</p>	
Auto Liquidate	<p>Switch on the toggle to enable liquidation on the due date automatically from the back office system.</p>	
Immediate Acceptance Required	<p>Switch on the toggle to enable immediate acceptance.</p>	Immediate Liquidation Required
Reimbursement Claimed	<p>Switch on the toggle if the reimbursement is already claimed.</p> <p>This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.</p>	

Field	Description	Sample Values
Reimbursing Bank	<p>Read only field.</p> <p>Reimbursing bank details gets defaulted from the LC.</p>  <p>Note</p> <p>If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p>	
Reimbursement Date	<p>This field will be enabled only if Reimbursement Claimed is ‘Yes’.</p> <p>Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.</p>	
Split Settlement	<p>Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p>Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p>	Disable
Liquidate using Collateral	Switch On the toggle to liquidate using collateral.	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate

Provide the FX linkage detail based on the description in the following table:

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

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Average FX Rate
0

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	

Field	Description	Sample Values
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Loan Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

The screenshot shows a 'Loan Preference' form with the following fields and values:

- Product: INAC
- Customer Id: 001344
- Customer Name: EMR & CO
- Drawing Currency: GBP £20,000.00
- Loan Amount Required in Drawing Currency: GBP £10,000.00
- Credit Line: 001344
- Loan Tenor: 12
- Exchange Rate: 10
- Loan Currency-Amount: GBP £10,000.00
- Loan Maturity Date: Oct 26, 2019

Buttons at the bottom right: Save & Close, Cancel.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Product	Read only field. This field displays the loan product linked to the drawing product.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	

Field	Description	Sample Values
Customer Name	Read only field. This field displays the applicant/applicant bank name.	
Drawing Currency	Read only field. This field displays the currency for the drawing.	
Loan Amount Required in Drawing Currency	Application defaults the drawing outstanding amount and enables the user to reduce the amount.	
Credit Line	Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines.	
Loan Tenor	Application defaults the loan tenor based on the product.	
Exchange Rate	This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	
Loan Currency-Amount	Select the currency for the loan amount.	
Loan Maturity Date	System defaults the date based on the Loan value date and Loan tenor. User cannot change the value.	

Preview

User can view the draft preview of the advise.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the Liquidation stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

Provide the settlement details based on the description in the following table:

Export LC Advise - DataEnrichment :: Application No: PK2ELCA000062468 Incoming Message

Screen (8 / 9)

- Main Details
- Availability Shipment
- Document Details
- Payment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AELAC_COMM_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
COLLAMT_OSEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
COLL_AMNDAMTEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
COLL_AMTEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
COLL_AVALAMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
LCCONFBC_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		N
LCEXCONF3_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y

COLL_AVALAMTEQ - Party Details

Transfer Type: Bank Transfer

Ordering Institution:

Account With Institution:

Charge Details: Remitter All Charges

Senders Correspondent:

Beneficiary Institution:

Netting Indicator:

Receivers Correspondent:

Ultimate Beneficiary:

Ordering Customer:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Sender To Receiver 1: <input type="text"/>	Sender To Receiver 2: <input type="text"/>	Sender To Receiver 3: <input type="text"/>	Sender To Receiver 4: <input type="text"/>
Sender To Receiver 5: <input type="text"/>	Sender To Receiver 6: <input type="text"/>		

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in scrutiny Import LC Drawing request.

The tiles must display a list of fields with values. User can drill down from summary Tiles to respective data segments.

Import LC Liquidation - DataEnrichment : Application No: PK2ILCL00003823

Summary

Main Details	Advice Details	Payment Details	Maturity Details	Loan Preferences
Product Code : ISLP Currency : GBP Amount : 2000	Advice 1 : REIM_PAY_ADV Advice 2 : PAYMENT_ME	Immediate Accept : Reim Claimed :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :
Limits and Collaterals	FX Linkage	Preview Messages	Commission,Charges and Taxes	Settlement Details
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Reference Number : Contract Amount : Contract Currency :	Language : ENG Preview Message : -	Charge : GBP50 Commission : Tax : Block Status : Not Initia	Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP
Parties Details	Compliance details	Linked Loan Details		
Presenting Bank : CITIBANK I Drawer : NATIONAL F Drawee : GOODCARE PLC	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Loan Account : Loan Currency : Loan Amount :		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Advice Details - User can view the advice details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

- Preview - User can preview the draft message.
- Accounting Details - User can view the accounting entries generated by back office system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Submit	Task will get moved to next logical stage of Import LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Liquidation stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Import LC Liquidation Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Import LC Liquidation KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles must display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screenshot shows the 'Summary' page of the FuTura Bank application. The page title is 'Import LC Liquidation - Approval1 :: Application No: GS11LCL000006228'. The page contains eight summary tiles, each with a green checkmark in the bottom right corner, indicating successful verification:

- Main Details:** Product Code : ISLC, Currency : 1000, Amount : GBP
- Party Details:** Drawer : NESTLE, Drawee : EMR & CO, Presenting Bank : CITIBANK NY
- Financing Details:** Credit Value Date, Debit Value Date, Value Date
- Payment Details:** Immediate Accept, Reim Claimed
- Settlement Details:** Component : LQTAX_AMT, Account Number : 2030000265..., Currency : GBP
- Limits Details:** Limit Currency, Limit Contribution, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : 120, Collateral Status : Not Verified
- Charge:** Charge, Commission, Tax, Block Status : Not Initia...
- Compliance:** KYC : Verified, Sanctions : Verified, AML : Verified

The bottom navigation bar includes buttons for Audit, Reject, Hold, Refer, Cancel, Approve, Back, and Next.

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.

- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Liquidation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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